



#15 / Appeal Brief
Atto Docket No. YO999-131
11/27/2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicants: J.L. Hellerstein et al.
Docket No.: YO999-131
Serial No.: 09/359,874
Filing Date: July 27, 1999
Group: 2126
Examiner: The T. Ho

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Signature: W. E. Lewis Date: November 10, 2003

Title: Systems and Methods for Exploratory
Analysis of Data for Event Management

RECEIVED
NOV 17 2003
Technology Center 2100

TRANSMITTAL OF APPEAL BRIEF

Mail Stop Appeal Brief - Patents
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are the following documents relating to the above-identified patent application:

- (1) Appeal Brief in triplicate (original and two copies); and
- (2) Copy of Notice of Appeal, filed on July 8, 2003, with copy of stamped return postcard indicating receipt of Notice by PTO on July 10, 2003.

Please extend the period for response by two months to November 10, 2003. Please charge **International Business Machines Corporation Deposit Account No. 50-0510** the amount of \$750 (\$330 to cover this submission under 37 CFR §1.17(c) and \$420 to cover the two month extension fee). In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Deposit Account No. 50-0510** as required to correct the error. A duplicate copy of this letter and two copies of the Appeal Brief are enclosed.

11/14/2003 AWONDAF1 00000028 500510 09359874
02 FC:1252 420.00 DA

Date: November 10, 2003

Respectfully submitted,

William E. Lewis
William E. Lewis
Reg. No. 39,274
Attorney for Applicant(s)
Ryan, Mason & Lewis, LLP
90 Forest Avenue
Locust Valley, NY 11560
(516) 759-2946



Receipt in the USPTO is hereby acknowledged of:

Transmittal Letter - 1 page
Response to Final Office Action - 7 pages
Notice of Appeal - (Orig. & 1 copy)

July 8, 2003
YO999-131
Serial No. 09/359,874
1500-16



RECEIVED
NOV 17 2003
Technology Center 2100